

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Keiji ENDO, et al.

SERIAL NO: New US PCT Application Based on PCT/JP05/03757

GAU:

FILED: Herewith

EXAMINER:

FOR: MODIFIED PROMOTER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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10/589960

SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 295199US0PCT		SERIAL NO. New US PCT Application Based on PCT/JP05/03757	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Keiji ENDO, et al.		10/589960/PTO 18 AUG 2006	
				FILING DATE Herewith		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AG						
	AD						
	AF						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AL	200 210081	08/02/00	JP (English abstract only)			NO
	AM	2003 47490	02/18/03	JP (English abstract only)			NO
	AN						
	AO						
	AF						
	AO						
	AR						
	AS						
	AT						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AU	SUMITOMO, N. et al., "Application of the upstream region of a Bacillus endoglucanase gene to high-level expression of foreign genes in Bacillus subtilis" Biosci. Biotechnol. Biochem., Vol. 59, No.11, pgs. 2172-2175, 1995.					
	AV	WANG, PZ. et al., "Overlapping promoters transcribed by bacillus subtilis sigma 55 and sigma 37 RNA polymerase holoenzymes during growth and stationary phases" J. Biol. Chem., Vol. 259, No. 13, pgs. 8619-8625, July 10, 1984.					
	AW	SUMITOMO, N. et al., "Nucleotide sequence of the gene for an alkaline endoglucanase from an alkalophilic Bacillus and its expression in Escherichia coli and Bacillus subtilis", Biosci. Biotechnol. Biochem., Vol. 56, No. 6, pgs. 872-877, 1992.					
	AX	EICHENBERGER, P. et al., "The sigmaE regulon and the identification of additional sporulation genes in Bacillus subtilis" J. Mol. Biol., Vol. 327, No. 5, pgs. 945-972, April 2003.					
	AY	JU, J. et al., "Sigma factor displacement from RNA polymerase during Bacillus subtilis sporulation" J. Bacteriol., Vol. 181, No. 16, pgs. 4969-4977, Aug. 1999.					
	AZ						<input type="checkbox"/> Additional References sheet(s) attached
Examiner		/Nancy Vogel/			Date Considered 03/16/2009		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /N.V./